

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17262

In Re Application Of: **Tetsuya OOTSUKI**

Serial No.
10/714,210

Filing Date
Nov. 14, 2003

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **SEMICONDUCTOR MEMORY DEVICE ADAPTIVE FOR USE CIRCUMSTANCE**



Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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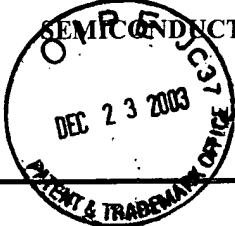
Examiner

Unassigned

Group Art Unit

Unassigned

SEMICONDUCTOR MEMORY DEVICE ADAPTIVE FOR USE CIRCUMSTANCE



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **Dec. 19, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

*This certificate may only be used if paying by deposit account.

Signature

Dated: DECEMBER 19, 2003

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PJE:ahs

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tetsuya OOTSUKI **Docket:** 17262
Serial No: 10/714,210 **Art Unit:** Unassigned
Filed: Nov. 14, 2003 **Dated:** Dec. 19, 2003
For: SEMICONDUCTOR MEMORY
 DEVICE ADAPTIVE FOR USE CIRCUMSTANCE

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

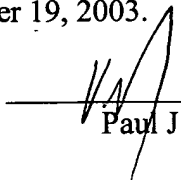
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid Open Patent Application No. 2000-40363, dated February 8, 2000;
2. Japanese Laid Open Patent Application No. 2000-132966, dated May 12, 2000;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 19, 2003.

Dated: December 19, 2003




Paul J. Esatto, Jr.

3. Japanese Laid Open Patent Application No. 2002-25255, dated January 25, 2002;
4. Japanese Laid Open Patent Application No. 2000-268565, dated September 29, 2000;
5. Japanese Laid Open Patent Application No. 2001-93280, dated April 6, 2001;
and
6. Japanese Laid Open Patent Application No. 10-504129, dated April 14, 1998.

Applicant is submitting copies of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

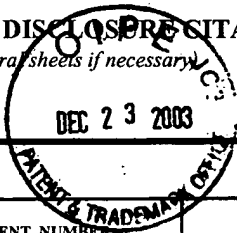
Respectfully submitted,


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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 17262	Application Number 10,714,210
	Applicant(s) Tetsuya OOTSUKI	
	Filing Date Nov. 14, 2003	Group Art Unit Unassigned



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

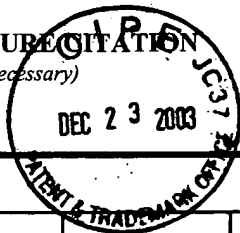
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2000-40363	2/8/00	Japan				
		2000-132966	5/12/00	Japan				
		2002-25255	1/25/02	Japan				
		2000-268565	9/29/00	Japan				
		2001-93280	4/6/01	Japan				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		10-504129	4/14/98	Japan				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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